



B 1614

DOCKET NO: M01015.70034 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: R. Shoshana Bamdad et al.  
Serial No: 09/835,099  
Confirmation No: 2967  
Filed: April 12, 2001  
For: TREATMENT OF NEURODEGENERATIVE DISEASE  
Examiner: Kwon, Brian Yong S.  
Art Unit: 1614

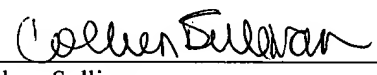
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, DC 20231, on February 20, 2003.



Colleen Sullivan

Commissioner for Patents  
Washington, D.C. 20231

Sir:

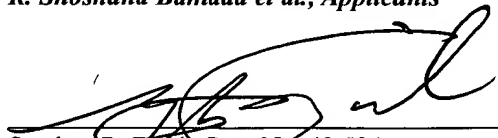
Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with cited references
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$180.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
**R. Shoshana Bamdad et al., Applicants**



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Colleen Sullivan  
Colleen Sullivan

Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application. The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed partial search report from a corresponding International Application.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Serial No.: 09/835,099  
Conf. No.: 2967

- 3 -

Art Unit: 1614

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*R. Shoshana Bamdad et al., Applicants*

By: 

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Docket No. M01015.70034.US  
Date: February 20, 2003  
**XNDDX**



<b>FORM PTO/AIA 101 9/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 09/835,099		ATTY. DOCKET NO.: M01015.70034	
				FILING DATE: April 12, 2001		CONFIRMATION NO.: 2967	
				APPLICANT: R. Shoshana Bamdad et al.			
				GROUP ART UNIT: 1614		EXAMINER: Kwon, Brian Yong S.	
Sheet	1	of	2				

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	1	Re. 34,653		Tsukamoto et al.	07-05-1994
	2	3,832,253		G. Di Palma et al.	08-27-1974
	3	3,854,480		Zaffaroni	12-17-1974
	4	4,452,775		Kent	06-05-1984
	5	4,667,014		Nestor, Jr. et al.	05-19-1987
	6	4,675,189		Kent et al.	06-23-1987
	7	4,748,034		de Rham	05-31-1988
	8	4,940,795		Tsukamoto	07-10-1990
	9	5,075,109		Tice et al.	12-24-1991
	10	5,133,974		Paradisiss et al.	07-28-1992
	11	5,239,660		Ooi	08-24-1993
	12	5,407,686		Patel et al.	04-18-1995
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	15	5,605,911		Olney et al.	02-25-1997
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	20	5,958,961		Inada et al.	09-28-1999
	21	6,096,742		Crocker et al.	08-01-2000
	22	6,121,046		Soreq et al.	09-19-2000
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	26	6,184,248	B1	Lee et al.	02-06-2001
	27	2002/0042074	A1	Bamdad et al.	04-11-2002
	28	2002/0086443	A1	Bamdad	07-04-2002
	29	2002/0098526	A1	Bamdad	07-25-2002
	30	2002/0156112	A1	Bamdad et al.	10-24-2002
	31	2002/0164611	A1	Bamdad et al.	11-07-2002

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## FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
	32	EP	1 132 372	A	Takeda Chemical Industries	09-12-2001	
	33	WO	95/01096	A	H.K. Shapiro	01-12-1995	
	34	WO	97/48391	A	Advanced Research Rand Technology Limited	12-24-1997	
	35	WO	98/27972	A	Texas A & M University	07-02-1998	
	36	WO	98/48784	A	The University of British Colombia	11-05-1998	
	37	WO	98/25898	A	Nymox Corporation	11-26-1998	
	38	WO	00/24390	A	The University of British Colombia	05-04-2000	

## OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	39	DATABASE CA Online!, Chemical Abstracts Service, SMITH, A. ET AL., "Pro-hemorrhagic effects of calcium antagonists: a comparison of isradipine and atenolol on ex vivo platelet function in hypertensive subjects", retrieved from STN, Database accession no.: 128: 176008 HCA, abstract & Journal of Human Hypertension (1997), pp. 783-788, vol. 11, no. 12.	

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

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